

Prepared from FORM PTO-1390		Transmittal Letter to the United States Designated/Elected Office (DO/EO/US)		Page 1 Rec'd PCT/PTO 13 FEB 2002 09/980722
Customer No.	026418			
Attorney's Docket No.:	GK-ZEI-3145 / 500343.20146 #4			
U.S. Application No.:	09/980,722			
International Application No.:	PCT/EP01/02921			
International Filing Date:	MARCH 15, 2001	15 MARCH 2001		
Priority Date Claimed:	MARCH 16, 2000	16 MARCH 2000		
Title of Invention:	ADJUSTING DEVICE FOR DISPLACING INDIVIDUAL ELEMENTS OF OPTICAL SYSTEMS OR OF MEASURING SYSTEMS			
Applicant(s) for (DO/EO/US):	Karlheinz BARTZKE, Stefan MACK, Matthias BURKHARDT, Thomas HARTMANN, Reinhard STEINER, Peter Dittrich and Karl-Heinz KLOPFLEISCH			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- ☐ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- ☒ 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- ☐ 3. This express request to begin national examination procedures [35 U.S.C. 371 (f)] at any time rather than delay examination until the expiration of the applicable time limit set forth in 35 U.S.C. 371(b) and PCT Articles 22 and
- ☐ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- ☐ 5. A copy of the International Application as filed [35 U.S.C. 371(c)(2)]
 - a) ☐ is transmitted herewith (required only if not transmitted by the International Bureau)
 - b) ☐ has been transmitted by the international Bureau
 - c) ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
- ☐ 6. A translation of the International Application into English [35 U.S.C. 371(c)(2)]
- ☐ 7. Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)]
 - a) ☐ are transmitted herewith (required only if not transmitted by the International Bureau)
 - b) ☐ have been transmitted by the International Bureau
 - c) ☐ have not been made; however, the time limit for making such amendments has **NOT** expired.
 - d) ☐ have not been made and will not be made
- ☐ 8. A translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)]
- ☒ 9. An EXECUTED Oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)]
- ☐ 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)]

Items 11. to 16. Below concern other document(s) or information included:

- ☐ 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98
- ☐ 12. An Assignment document for recording. A separate cover sheet (PTO-1619A) in compliance with 37 CFR 3.28 and 3.31 is included.
- ☐ 13. ☐ A **FIRST** preliminary amendment
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment
- ☐ 14. A **substitute specification**
- ☐ 15. A change of power of attorney and/or address letter
- ☒ 16. (other items or information) **371 Formalities letter dated January 29, 2002**

EXPRESS MAIL No.: **EL 915 669 825 US** Deposited: **February 13, 2002**

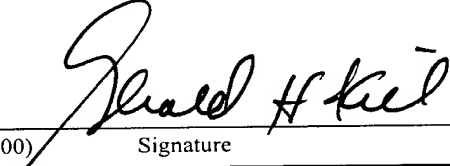
I hereby certify that this correspondence is being deposited with the United States Postal Service Express mail under 37 CFR 1.10 on the date indicated above and is addressed to: BOX PCT, Commissioner for Patents, Washington, DC 20231.

/Ruth Montalvo Date: **February 13, 2002**

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U.S. Application No. (if known, see 37 CFR 1.50): 09/980,722				Page 2	
International Application No.: PCT/US00/00921				Attorney's Docket No.: SK-ZEI-3145 / 500343.20146	
				CALCULATIONS	PTO USE ONLY
<input type="checkbox"/> 17. The following fees are submitted: BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]					
<input checked="" type="checkbox"/> Search Report has been prepared by the EPO or JPO..... \$ 890.00					
<input type="checkbox"/> International preliminary examination fee paid to USPTO [37 CFR 1.482]..... \$ 710.00					
<input type="checkbox"/> No International preliminary examination fee paid to USPTO [37 CFR 1.482] but International search fee paid to USPTO [37 CFR 1.445(a)(2)]..... \$ 740.00					
<input type="checkbox"/> Neither International preliminary examination fee [37 CFR 1.482] nor International search fee [37 CFR 1.445(a)(2)] paid to USPTO..... \$ 1,040.00					
<input type="checkbox"/> International preliminary examination fee paid to USPTO [37 CFR 1.482] and all claims satisfied provisions of PCT Article 33(1)-(4)..... \$ 100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT:					
Claims	Number Filed		Number Extra	Rate	
Total Claims		-20		x \$ 18. =	
Indep. Claims		-03		x \$ 84. =	
<input type="checkbox"/> Multiple Dependent Claim(s) (if applicable)				+ \$ 280. =	
TOTAL OF ABOVE CALCULATIONS:					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input checked="" type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]				\$130.00	
TOTAL OF ABOVE CALCULATIONS:				\$130.00	
Applicant claims Small Entity Status [See 37 CFR 1.27] Reduction by 1/2 for filing by small entity					
SUBTOTAL:				\$130.00	
Processing fee of \$130.00 for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]					
TOTAL NATIONAL FEE:				\$130.00	
Fee for recording the enclosed assignment [37 CFR 1.21(h)] The assignment must be accompanied by an appropriate cover sheet (PTO-1595) [37 CFR 3.28, 3.31]. \$ 40.00 per property +					
TOTAL FEE(S):				\$130.00	
AMOUNTS TO BE REFUNDED OR CHARGED				REFUNDED	\$
				CHARGED	\$
(Please note the filing fee is based on the claims in the Preliminary Amendment)					
<input checked="" type="checkbox"/> Check in the amount of \$ 130.00 to cover the above fees is enclosed. (The Commissioner is hereby authorized to charge any additional fees required with this submission or to credit any overpayment to Deposit Account No: 50-1529.)					
NOTE: Where an appropriate time limit under 36 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Gerald H. Kiel, Esq. (Customer No. 026418)					
Reed Smith LLP					
375 Park Avenue					
New York, NY 10152					
Gerald H. Kiel				25,116	
Name (Tel. (212) 521-5400)		Signature		Reg. No.	
				February 13, 2002	
				Date	